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FORM PTO-1390
(REV. 10-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

P/3610-12

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)
09/787,631INTERNATIONAL APPLICATION NO.
PCT/FR99/02223INTERNATIONAL FILING DATE
20 September 1999PRIORITY DATE CLAIMED
21 September 1998

TITLE OF INVENTION

FUNGICIDAL COMPOSITIONS

APPLICANT(S) FOR DO/EO/US

Maurice CHAZALET, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

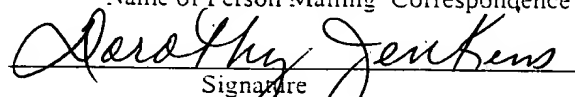
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label)
EL075475124US in an envelope addressed to:
 Asst. Commissioner for Patents, Washington, D.C. 20231.
 on April 13, 2001

Dorothy Jenkins

Name of Person Mailing Correspondence



Signature

April 13, 2001

Date of Signature

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/787,631

INTERNATIONAL APPLICATION NO.

PCT/FR99/02223

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P/3610-12

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =**CALCULATIONS** PTO USE ONLY

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE |
|---|--------------|--------------|------------|
| Total claims | - 20 = | | X \$18.00 |
| Independent claims | - 3 = | | X \$80.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$270.00 |

\$

\$

\$

TOTAL OF ABOVE CALCULATIONS =

\$

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

\$

SUBTOTAL =

\$

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

+

TOTAL NATIONAL FEE =

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$

+

40.00

TOTAL FEES ENCLOSED =

\$ 170.00

| | |
|---------------------------|----|
| Amount to be refunded: | \$ |
| charged: | \$ |

a. ☒ A check in the amount of \$ 170.00 to cover the above fees is enclosed. **Check No. 004162**

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 15-0700. A duplicate copy of this sheet is enclosed.

04/18/2001 MNGUYEN 00000051 09787631

01 FC:154 130.00.00

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

OSTROLENK, FABER, GERB & SOFFEN, LLP
1180 Avenue of the Americas
New York, NY 10036-8403

Tel: (212) 382 0700



SIGNATURE:

Edward A. Meilman

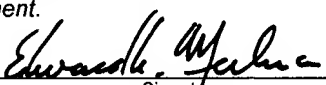
NAME

24,735

REGISTRATION NUMBER

PATENTS RECORDATION COVER SHEET

To the Assistant Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

| | |
|--|---|
| 1. Name of conveying party(ies): Maurice CHAZALET Patrice DUVERT Jean-Marie GOUOT Richard MERCER Type of Entity: Individuals Additional names(s) of conveying party(ies) attached? No | 2. Name and Address of receiving party(ies): Aventis CropScience SA 55, avenue Rene Cassin F-69009 Lyon, France Type of Entity: Corporation-Japan Additional name(s) & address(es) attached? No |
| 3. Nature of Conveyance: Assignment Execution Date: March 15, 16 & 20, 2001 | |
| 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: | |
| A. Application No.(s) 09/787,631 Additional numbers attached? No | B. Patent No.(s) |
| 5. Name and address of party to whom correspondence concerning document should be mailed: | 6. Total number of documents involved: 1 |
| OSTROLENK, FABER, GERB & SOFFEN, LLP 1180 Avenue of the Americas New York, New York 10036-8403 04/18/2001 MNSUYEN 00000051 09787631 02 FC:581 40.00 OP | 7. Total fee (37 CFR 3.41): \$ 40.00 |
| | Enclosed as part of Check No. 004162 In the event the actual fee is greater than the payment submitted or is inadvertently not enclosed or if any additional fee due is not paid, the Patent and Trademark Office is authorized to charge the underpayment to Deposit Account No. 15-0700. |
| DO NOT USE THIS SPACE | |
| 8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Edward A. Meilman  April 13, 2001 Name of Person Signing Signature Date Total number of pages including cover sheet, attachments, and document: 2 | |